Robust and Data-Efficient 3D Perception

鲁棒高效的三维感知

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S-LAB FOR ADVANCED INTELLIGENCE









PointCloud-C: Benchmarking and Analyzing Point Cloud Perception Robustness under Corruptions

Jiawei Ren*, Lingdong Kong*, Liang Pan, and Ziwei Liu



Robustness is Crucial in Point Cloud



 Point clouds are used in safety-critical applications but often suffer from severe OOD corruptions.



Corruptions are severe and OOD e.g., occlusions, sensory noise



Applications are safety-critical e.g., autonomous driving







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Comprehensive Benchmarking Suite



ModelNet-C: ModelNet40 is one of the most used benchmarks. We corrupt the ModelNet40 testset using the atomic corruptions with varying severities.



Evaluation Protocol



Evaluation Metrics: Inspired by the ImageNet-C, we use mean CE (mCE), as the primary metric. Compared to the commonly used Overall Accuracy (OA), mCE shows average performance under all types of corruptions.

$$CE_{i} = \frac{\sum_{l=1}^{5} (1 - OA_{i,l})}{\sum_{l=1}^{5} (1 - OA_{i,l}^{DGCNN})},$$

$$\text{mCE} = \frac{1}{N} \sum_{i=1}^{N} \text{CE}_i$$





Indicative of real-world robustness?



• Yes. We observe that ModelNet-C mCE strongly correlates to ScanObjectNN (SONN) OA. In comparison, ModelNet40 OA has nearly no correlation to SONN OA.







Point cloud classifier getting more robust?

 No. Although the accuracy on ModelNet40 gradually saturates, the robustness is at the risk of getting worse, due to the lack of a standard test suite.







What makes a robust point cloud classifier?

• **Three main components**: 1) architecture design, 2) self- supervised pretraining 3) augmentation methods.





What makes a robust point cloud classifier?

- We conduct a comprehensive analysis and observe:
 - Proper architecture designs can improve robustness, e.g., advanced grouping and self-attention.
 - Pretrain signals can be transferred, benefiting robustness under specific corruptions.
 - Mixing and deformation augmentations can bring significant improvements to model robustness.





Enhancing Robustness in Point Cloud



- For verification, we propose a new architecture and a new augmentation technique strictly following our empirical findings.
- They *outperform* existing methods.



Our proposed architecture *RPC*



Our proposed augmentation WolfMix



-1.2 Ü

- 1.0

Conclusion

- The SoTA methods for point cloud classification on clean data are becoming **less robust** to random real-world corruptions.
- We highly encourage future research to **focus on classification robustness** so as to benefit real applications.



Code, Models & Dataset



Released at https://github.com/ldkong1205/PointCloud-C



Benchmarking and Analyzing Point Cloud Perception Robustness under Corruptions

Jiawei Ren, Lingdong Kong, Liang Pan, Ziwei Liu S-Lab, Nanyang Technological University



About

PointCloud-C is the very first test-suite for point cloud perception robustness analysis under corruptions. It includes two sets: ModelNet-C (ICML'22) for point cloud classification and ShapeNet-C (arXiv'22) for part segmentation.









Unsupervised Domain Adaptive 3D Detection with Multi-Level Consistency

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3D Object Detection



[PointRCNN CVPR2019]



3D Sparse Convolution RPN Box Confidence **Raw Point Cloud** Refinement Classification z . 1 To BEV Box Voxelization Regression FC (256, 256) S Z, Predicted Weightin Keypoints → x with features **Keypoints Sampling** Voxel Set Abstraction Module **Rol-grid Pooling Module**

[PVRCNN CVPR2020]



[HVPR CVPR2021]

3D Object Detection Datasets



[KITTI Dataset]

[Waymo Open Dataset]

[nuScenes Dataset]

How Do Models Generalize Across Domains?



Evaluation performance on KITTI for models trained on different domains [1]



Inaccurate cross-domain predictions

- Performance drops dramatically across domains
- Largely due to scale mismatch

[1] Wang, Yan, et al. "Train in germany, test in the usa: Making 3d object detectors generalize." Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 2020.

How Do Models Generalize Across Domains?





Scale rectification based on statistical information [1]

- Early study [1] mitigates scale mismatch based on statistical information
- Such information is not always available

[1] Wang, Yan, et al. "Train in germany, test in the usa: Making 3d object detectors generalize." Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 2020.

The Mean Teacher Paradigm



- Widely used for semi-supervised learning, selfsupervised learning, domain adaptation
- Teacher model obtained from exponential average of student model

$$\theta' = m\theta' + (1-m)\theta$$

• Trained with consistency loss between student and teacher predictions

Multi-Level Consistency Network (MLC-Net)



Point-Level Consistency





- Point correspondence remains after input augmentation
- Classification consistency between each pair of points

$$L_{pt,cls} = \frac{1}{|x_t|} \sum D_{KL}(\hat{R}_t^c || R_t^c)$$

 Box consistency for points belonging to the foreground

$$L_{pt,box} = \frac{1}{|\mathbb{P}_{pos}|} \sum_{p^i \in \mathbb{P}_{pos}} d(\hat{R}_t^{c(i)}, h(R_t^{c(i)}))$$

Instance-Level Consistency





- Correspondence breaks due to proposal sampling
- Map teacher proposals to student to establish correspondence
- Apply Rol augmentation to avoid trivial solution
- Compute instance-level consistency losses

$$L_{ins,cls} = \frac{1}{|G_t|} \sum D_{KL}(\hat{S}_t^c || S_t^c)$$
$$L_{ins,box} = \frac{1}{|\mathbb{S}_{pos}|} \sum_{S_t^{(i)} \in \mathbb{S}_{pos}} d(\hat{S}_t^{b(i)}, S_t^{b(i)})$$

Neural Statistics-Level Consistency





- Significant mismatch exists in layer statistics between source and target domain
- Lead to suboptimal training behaviors
- Apply running statistics of student

model to teacher model during training

$$\mu' = (1 - \alpha)\mu' + \alpha\mu$$

$$\sigma' = (1 - \alpha)\sigma' + \alpha\sigma$$

Experimental Results

	KITTI -	→ Waymo		$\textbf{Waymo} \rightarrow \textbf{KITTI}$					
Methods	AP/L1	APH/L1	AP/L2	APH/L2	Methods	Easy	Moderate	Hard	
Direct Transfer	9.17	8.99	7.94	7.78	Direct Transfer	20.22	21.43	20.49	
Wide-Range Aug	18.61	18.18	16.77	16.40	Wide-Range Aug	30.23	31.49	32.85	
DA-Faster	6.96	6.87	6.42	6.33	DA-Faster	4.42	5.55	5.53	
Output Transform	26.48	25.84	23.85	23.29	Output Transform	39.78	37.82	39.55	
Statistical Norm	30.69	30.06	27.23	26.67	Statistical Norm	61.93	58.07	58.44	
Ours	38.21	37.74	34.46	34.04	Ours	69.35	59.44	56.29	
	KITTI –	→ nuScenes			nuScenes ightarrow KITTI				
Methods	ATE	ASE	AOE	AP^{3D}	Methods	Easy	Moderate	Hard	
Direct Transfer	0.207	0.248	0.212	13.01	Direct Transfer	49.13	39.56	35.51	
Wide-Range Aug	0.200	0.228	0.211	16.01	Wide-Range Aug	58.71	45.37	43.03	
DA-Faster	0.247	0.253	0.292	10.77	DA-Faster	52.25	40.62	35.90	
Output Transform	0.207	0.220	0.212	14.67	Output Transform	23.13	27.26	29.10	
Statistical Norm	0.227	0.168	0.368	23.15	Statistical Norm	44.81	45.15	47.60	
Ours	0.197	0.179	0.197	23.47	Ours	71.26	55.42	48.99	

Effectiveness of scale distribution rectification



Scale distribution comparison of models trained on KITTI and tested on Waymo

$L_{pt,cls}$	$L_{pt,box}$	$L_{ins,cls}$	$L_{ins,box}$	AP/L1	APH/L1	AP/L2	APH/L2
				18.61	18.18	16.77	16.40
\checkmark				20.34	19.91	18.07	17.70
	\checkmark			30.34	29.69	27.08	26.49
\checkmark	\checkmark			31.00	30.39	27.64	27.09
		\checkmark		21.12	20.87	18.79	18.57
			\checkmark	33.21	32.44	29.95	29.26
		\checkmark	\checkmark	34.95	34.53	31.43	31.05
\checkmark	\checkmark	\checkmark	\checkmark	38.21	37.74	34.46	34.04

Effect of point-level and instance-level consistency losses

Setting	AP/L1	APH/L1	AP/L2	APH/L2
Disabled	2.79	2.74	2.54	2.49
Separate	29.88	29.45	26.85	26.48
Enabled	38.21	37.74	34.46	34.04

Effect of neural statistics-level consistency. (Separate: batch norm performed for each domain individually.)

Extension to other detection models



Extension to the one-stage 3DSSD detector.

$\mathbf{KITTI} \rightarrow \mathbf{Waymo}$									
Methods	AP/L1	APH/L1	AP/L2	APH/L2					
Direct Transfer	0.0329	0.0326	0.0278	0.0275					
Wide-Range Aug	0.1667	0.1648	0.1473	0.1456					
OT [9]	0.2456	0.2423	0.2270	0.2239					
SN [9]	0.2595	0.2561	0.2400	0.2367					
Ours	0.2987	0.2927	0.2680	0.2627					

$\mathbf{Waymo} \rightarrow \mathbf{KITTI}$								
Methods	Easy	Moderate	Hard					
Direct Transfer	6.3059	6.4088	6.2498					
Wide-Range Aug	37.8330	35.3351	34.0547					
OT [<mark>9</mark>]	45.4223	40.4968	41.0412					
SN [9]	47.8134	45.9175	46.4571					
Ours	56.8611	48.7393	48.3180					

Qualitative Results



Figure 2: Qualitative results on Waymo validation dataset for KITTI to Waymo transfer.

Qualitative Results



Figure 3: Qualitative results on KITTI validation dataset for Waymo to KITTI transfer.











LaserMix for Semi-Supervised LiDAR Semantic Segmentation

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AV Perception





From left to right:

- LiDAR semantic segmentation
- LiDAR panoptic segmentation
- 3D object detection
- 4D LiDAR panoptic segmentation

Why LiDAR sensors?

- Accurate depth sensing
- Robust at low-light conditions
- Dense perceptions
- ...

Overview





(a) Motivation. Semantic scene priors are overt for each category in LiDAR point clouds.
(b) Generalizability. LaserMix can be added into various popular LiDAR representations.
(c) Effectiveness. LaserMix helps to improve both semi- and fully-supervised settings.

Spatial Prior



Class	Туре	Proportion	Distribution	Heatmap
vegetation	static	24.825%		
road	static	22.545%		
sidewalk	static	16.353%		
car	dynamic	4.657%		
traffic-sign	static	0.061%		
motorcycle	dynamic	0.045%		
person	dynamic	0.036%		
bicycle	dynamic	0.018%		

Certain class tends to appear at certain areas around the ego-vehicle!

Motivation



- NANYANG TECHNOLOGICAL UNIVERSITY SINGAPORE
- We target on the less-explored semi-supervised LiDAR segmentation.
- Our goal is to leverage the abundant raw LiDAR scans for training accurate segmentation models.
- We make advantages of the **spatial prior** in LiDAR scenes for effective learning with semi supervisions.
- **TL;DR** LaserMix leverages the strong spatial prior of driving scenes to construct low-variation areas via laser beam mixing, and encourages models to make confident and consistent predictions before and after mixing.



Laser Partition & Mixing





• Inclination:

$$\phi_i = \arctan(\frac{p_i^z}{\sqrt{(p_i^x)^2 + (p_i^y)^2}})$$

• Depth: $\rho_i = \sqrt{(p_i^x)^2 + (p_i^y)^2}$

• Azimuth:
$$\alpha_i = \arctan(\frac{p_i^{\gamma}}{p_i^{\chi}})$$



Laser Partition & Mixing





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• Azimuth:
$$\alpha_i = \arctan(\frac{p_i^{\gamma}}{p_i^{\chi}})$$



Consistency Regularization







Consistency Regularization



Algorithm 1 Pseudo-code for one training iteration in our SSL framework.

1: Input: Shuffled labeled batch $(X_l, Y_l) = \{(x_l^{(b)}, y_l^{(b)}); b \in (1, \dots, B)\}$, shuffled unlabeled batch $X_u =$ $\{x_u^{(b)}; b \in (1, \ldots, B)\}$, threshold T, loss weights λ_{mix} and λ_{mt} , Student and Teacher nets. 2: for b = 1 to *B* do 3: $x_{\text{mix}}^{(2b-1)}, x_{\text{mix}}^{(2b)} = \text{LaserMix}(x_l^{(b)}, x_u^{(b)})$ // LaserMix data 4: end for 5: $X_{\text{mix}} = \{x_{\text{mix}}^{(i)}; i \in (1, \dots, 2B)\}$ 6: $S_l, S_u, S_{mix} = \text{Student}(\text{Concat}(X_l, X_u, X_{mix})) / \text{Student net prediction scores}$ 7: $\hat{S}_l, \hat{S}_u = \text{Teacher}(\text{Concat}(X_l, X_u)) / / \text{Teacher net prediction scores}$ 8: $Y_u = \text{PseudoLabel}(\hat{S}_u, T)$ // Produce pseudo-label from scores larger than threshold 9: for b = 1 to B do 10: $y_{\text{mix}}^{(2b-1)}, y_{\text{mix}}^{(2b)} = \text{LaserMix}(y_{l}^{(b)}, y_{u}^{(b)})$ // LaserMix label 11: end for 12: $Y_{\text{mix}} = \{y_{\text{mix}}^{(i)}; i \in (1, \dots, 2B)\}$ 13: $L_{sup} = CrossEntropy(S_l, Y_l) / Supervised loss$ 14: $L_{\text{mix}} = \text{CrossEntropy}(S_{\text{mix}}, Y_{\text{mix}}) / Mix \ loss$ 15: $L_{\rm mt} = L2({\rm Concat}(S_l, S_u), {\rm Concat}(\hat{S}_l, \hat{S}_u)) / / Mean Teacher loss$ 16: $L = L_{sup} + \lambda_{mix}L_{mix} + \lambda_{mt}L_{mt}$ 17: Backward(L), Update(Student), UpdateEMA(Teacher)





	nuScenes [15]	SemanticKITTI [16]	ScribbleKITTI [4]
Vis.			
#Class	16	19	19
#Train	29130	19130	19130
#Val	6019	4071	4071
Res. (RV)	32×1920	64×2048	64×2048
Res. (voxel)	[240, 180, 20]	[240, 180, 20]	[240, 180, 20]
#Beam	32	64	64
$[\phi_{\rm up},\phi_{\rm low}]$	$[10^{\circ}, -30^{\circ}]$	$[3^{\circ}, -25^{\circ}]$	$[3^{\circ}, -25^{\circ}]$
$[p_{\max}^x, p_{\min}^x]$	[50m, -50m]	[50m, -50m]	[50m, -50m]
$[p_{\max}^y, p_{\min}^y]$	[50m, -50m]	[50m, -50m]	[50m, -50m]
$[p_{\max}^{\boldsymbol{z}}, p_{\min}^{\boldsymbol{z}}]$	[3m, -5m]	[2m, -4m]	[2m, -4m]
#Label	100%	100%	8.06%
Intensity			
Range			
Semantics			A CONTRACTOR

High-res LiDAR:

- SemanticKITTI
- Denser scenes

Low-res LiDAR:

- nuScenes
- Sparser scenes

Weak supervision:

- ScribbleKITTI
- Sparse labels



Settings

- Range View
 - Backbone: FIDNet [IROS'21]
 - # Param: 6.05M
 - 6 x 32 x 1920 (nuScenes)
 - 6 x 64 x 2048 (SemanticKITTI/ScribbleKITTI)
- Voxel
 - Backbone: Cylinder3D [CVPR'21]
 - # Param: 28.13M
 - [240, 180, 20]
- Data Split
 - 1%, 10%, 20%, 50% (labeled)
 - Random sampling
 - Assume the remaining ones are unlabeled









Comparative Studies



Donn	Popr Mathod		nuScen	es [15]		SemanticKITTI [16]			ScribbleKITTI [4]				
Kepr.	Method	1%	10%	20%	50%	1%	10%	20%	50%	1%	10%	20%	50%
	Suponly	38.3	57.5	62.7	67.6	36.2	52.2	55.9	57.2	33.1	47.7	49.9	52.5
ge View	MeanTeacher [26] CBST [30] CutMix-Seg [29]	$\begin{array}{c c} 42.1 \\ 40.9 \\ 43.8 \end{array}$	$\begin{array}{c} 60.4 \\ 60.5 \\ 63.9 \end{array}$	$65.4 \\ 64.3 \\ 64.8$	$69.4 \\ 69.3 \\ 69.8$	$ \begin{array}{c c} 37.5 \\ 39.9 \\ 37.4 \end{array} $	$53.1 \\ 53.4 \\ 54.3$	$56.1 \\ 56.1 \\ 56.6$	$57.4 \\ 56.9 \\ 57.6$	$\begin{array}{c c} 34.2 \\ 35.7 \\ 36.7 \end{array}$	$\begin{array}{c} 49.8 \\ 50.7 \\ 50.7 \end{array}$	$51.6 \\ 52.7 \\ 52.9$	$53.3 \\ 54.6 \\ 54.3$
Rang	CPS [13]	40.7	60.8	64.9	68.0	36.5	52.3	56.3	57.4	33.7	50.0	52.8	54.6
	LaserMix (Ours) $\Delta \uparrow$	$\begin{vmatrix} 49.5 \\ +11.2 \end{vmatrix}$	68.2 + 10.7	70.6 +7.9	$\begin{array}{c} \textbf{73.0} \\ \textbf{+5.4} \end{array}$	$\begin{array}{ c c } \textbf{43.4} \\ \textbf{+7.2} \end{array}$	$\begin{array}{c} 58.8 \\ \mathbf{+6.6} \end{array}$	$\begin{array}{c} 59.4 \\ \mathbf{+3.5} \end{array}$	$\begin{array}{c} 61.4 \\ \mathbf{+4.2} \end{array}$	$\begin{array}{c} \textbf{38.3} \\ \textbf{+5.2} \end{array}$	$\begin{array}{c} 54.4 \\ \mathbf{+6.7} \end{array}$	$\begin{array}{c} 55.6 \\ \mathbf{+5.7} \end{array}$	$\begin{array}{c} 58.7 \\ \mathbf{+6.2} \end{array}$
	Suponly	50.9	65.9	66.6	71.2	45.4	56.1	57.8	58.7	39.2	48.0	52.1	53.8
Voxel	MeanTeacher [26] CBST [30] CPS [13]	$51.6 \\ 53.0 \\ 52.9$	$\begin{array}{c} 66.0 \\ 66.5 \\ 66.3 \end{array}$	$67.1 \\ 69.6 \\ 70.0$	71.7 71.6 72.5	$\begin{array}{c c} 45.4 \\ 48.8 \\ 46.7 \end{array}$	$57.1 \\ 58.3 \\ 58.7$	$59.2 \\ 59.4 \\ 59.6$	$\begin{array}{c} 60.0 \\ 59.7 \\ 60.5 \end{array}$	$\begin{array}{c c} 41.0 \\ 41.5 \\ 41.4 \end{array}$	$50.1 \\ 50.6 \\ 51.8$	$52.8 \\ 53.3 \\ 53.9$	$53.9 \\ 54.5 \\ 54.8$
	LaserMix (Ours) $\Delta \uparrow$	$\begin{vmatrix} 55.3 \\ +4.4 \end{vmatrix}$	69.9 +4.0	71.8 + 5.2	73.2 + 2.0	$50.6 \\ +5.2$	60.0 +3.9	$\begin{array}{c} 61.9 \\ \mathbf{+4.1} \end{array}$	62.3 + 3.6	$\begin{array}{ } \textbf{44.2} \\ \textbf{+5.0} \end{array}$	$53.7 \\ +5.7$	$\begin{array}{c} 55.1 \\ \mathbf{+3.0} \end{array}$	56.8 + 3.0

A. Tarvainen and H. Valpola. "Mean teachers are better role models: Weight-averaged consistency targets improve semisupervised deep learning results," NeurIPS, 2017.

- G. French, et al. "Semi-supervised semantic segmentation needs strong, high-dimensional perturbations," BMVC, 2020.
- Y. Zou, et al. "Domain adaptation for semantic segmentation via class-balanced self-training," ECCV, 2018.
- X. Chen, et al. "Semi-supervised semantic segmentation with cross pseudo supervision," CVPR, 2021.



Comparative Studies



Method	5%	10%	20%	30%	40%
GPC [14]	41.8	49.9	58.8	59.4	59.9
Ours (RV) $\Delta \uparrow$	54.6 + 12.8	58.8 + 8.9	59.4 + 0.6	60.1 + 0.7	60.8 + 0.9
Ours (Voxel) $\Delta \uparrow$	56.7 + 14.9	60.0 + 10 .1	61.9 + 3 .1	62.1 + 1.7	62.3 + 1.4

SemanticKITTI



L. Jiang, et al. "Guided point contrastive learning for semi-supervised point cloud semantic segmentation," ICCV, 2021.

Comparative Studies



road	sidewalk	building	wall	fence
	-			
pole	traffic light	traffic sign	vegetation	terrain
sky	person	rider	car	truck
bus	train	motorcycle	bicycle	
		-	-	Also has s

Method	1/16	1/8	1/4	1/2
MeanTeacher [26]	66.1	71.2	74.4	76.3
w/ Ours ∆↑	68.7 + 2.6	$72.3 \\ +1.1$	75.7 + 1.3	76.8 + 0.5
CCT [11] GCT [12] CPS [13]	$\begin{array}{c} 66.4 \\ 65.8 \\ 69.8 \end{array}$	$72.5 \\ 71.3 \\ 74.4$	$75.7 \\ 75.3 \\ 76.9$	$76.8 \\ 77.1 \\ 78.6$
CPS-CutMix [13]	74.5	76.6	77.8	78.8
w/ Ours ∆↑	75.5 + 1.0	77.1 + 0.5	78.3 + 0.5	79.1 + 0.3

Cityscapes (RGB)

Also has spatial priors in scenes!

Y. Ouali, et al. "Semi-supervised semantic segmentation with cross-consistency training," CVPR, 2020. Z. Ke, et al. "Guided collaborative training for pixel-wise semi-supervised learning," ECCV, 2020.





#	\mathcal{L}_{mt}	$\mathcal{L}_{ ext{mix}}$	SS	TS	1%	10%	20%	50%
(1)	\checkmark				42.1	60.4	65.4	69.4
(2)	\checkmark	\checkmark	✓ ✓		$\begin{array}{c} 45.6\\ 47.0\end{array}$	$\begin{array}{c} 64.3 \\ 65.5 \end{array}$	$\begin{array}{c} 67.8\\ 69.5 \end{array}$	$71.6 \\ 72.0$
(3)	\checkmark	\checkmark		√ √	$\begin{array}{c} 46.0\\ 49.5\end{array}$	$\begin{array}{c} 64.1 \\ 68.2 \end{array}$	$69.5 \\ 70.6$	$72.3 \\ 73.0$



- (1) Results of MeanTeacher.
- (2) Results of LaserMix w/ student supervisions; much better than the counterpart.
- (3) Results of LaserMix w/ teacher supervisions; much better than the counterpart.







(a) Comparisons among different mixing techniques. (b) EMA. (c) Confidence threshold.

A. Nekrasov, et al. "Mix3D: Out-of-context data augmentation for 3D scenes," 3DV, 2021.

S. Yun, et al. "Cutmix: Regularization strategy to train strong classifiers with localizable features," ICCV, 2019

T. DeVries and G. W. Taylor. "Improved regularization of convolutional neural networks with cutout," arXiv, 2017

H. Zhang, et al. "Mixup: Beyond empirical risk minimization," ICLR, 2018.





• Inclination:

$$\phi_i = \arctan(\frac{p_i^z}{\sqrt{(p_i^x)^2 + (p_i^y)^2}})$$

• Depth:
$$\rho_i = \sqrt{(p_i^x)^2 + (p_i^y)^2}$$

• Azimuth:
$$\alpha_i = \arctan(\frac{p_i^{\gamma}}{p_i^{\chi}})$$



Visual Comparison





Know More About LaserMix







Know More About LaserMix





- Paper: https://arxiv.org/abs/2207.00026
- **Code:** <u>https://github.com/ldkong1205/LaserMix</u>
- Tutorial: https://zhuanlan.zhihu.com/p/528689803
- **Project Page:** <u>https://ldkong.com/LaserMix</u>



